

## **TIMKEN**

## ENHANCED PROLIFERATION CONTROL INITIATIVE (EPCI) CERTIFICATION (To be reviewed, completed, and signed by the purchaser)

Export control and sanction laws restrict Timken and other parties, including Timken's customers in both the United States and abroad, from exporting, reexporting, or transferring (including transfer to a foreign national, whether in the United States or abroad), products (including technologies such as blueprints, manuals, and other data), to certain entities and/or for certain end-uses, unless explicitly authorized by the U.S. Government. Thus, all purchases must be accompanied by this certification, which states that you, as the purchaser of Timken product(s), agree not to violate those restrictions throughout the life of the product(s).

[INSERT YOUR COMPANY NAME] ("Purchaser") understands that the product(s) supplied by Timken is/are subject to U.S. export control and sanction laws and thus, cannot be exported, reexported, transferred, or otherwise diverted unless permitted by such laws or previously authorized by the U.S. Government. To comply with such laws, Purchaser hereby agrees and certifies that unless prior approval from the U.S. Government has been obtained, it

- 1. Not allow Timken products to be used in missiles or weapons of mass destruction<sup>ii</sup>.;
- 2. Not allow Timken products to be used in **China, Venezuela** or **Russia** military applications<sup>iii</sup>.;
- 3. Not allow Timken products to be used in **Russia** Sectorial Sanctions end-uses related to 15 CFR 746.5 (Russian Industry Sector Sanctions) and Section 231 of Countering America's Adversaries Through Sanctions Act ("CAATSA");<sup>iV</sup>
- 4. Not allow Timken products to be used in embargo countries (**Iran, N. Korea, Syria, Cuba, Sudan**) or by persons or entities on any U.S. Government restricted party lists<sup>v</sup>; and
- 5. If buying Timken aerospace or maritime products, not allow Timken product(s) to be used in a plane or vessel, when that plane or vessel is registered in a country listed in #4, above, when the person or entity owning, leasing or controlling the plane or vessel is a citizen of or incorporated in a country listed in #4, or when the plane or vessel is owned, leased or controlled by a person or entity on one of the restricted lists referred to in #4, above<sup>vi</sup>.

Purchaser certifies that it has read and understands the above restrictions. Purchaser hereby understands and acknowledges that it is solely responsible to determine and verify whether the above conditions apply to a transaction, including whether a particular end-use or end-user falls within the scope of the above restrictions, and to obtain all necessary authorizations should any of the restrictions apply.

The official signing this certification, as identified below, also hereby certifies that it possesses the authority to sign this certification on behalf of Purchaser and to bind Purchaser to the above restrictions.

Company/Purchaser Name	Signature
Company/Purchaser Address	Signing Official's Name and Title
Date	Phone Number

These laws include the Export Administration Regulations ("EAR") (Code of Federal Regulations ("CFR"), Title 15), as administered by the U.S. Department of Commerce, Bureau of Industry and Security ("BIS"), the International Traffic in Arms Regulations ("ITAR"), as administered by the U.S. Department of State, Directorate of Defense Trade Control ("DDTC"), and various economic sanctions regulations administered by the U.S. Department of Treasury. Office of Foreign Assets Control.

- iii No Timken products in China, Venezuela or Russia military applications. Specifically, Purchaser agrees and certifies that it will not, without prior U.S. Government approval, export, reexport, transfer or otherwise divert Timken product(s) to or for the People's Republic of China, Venezuela or Russia if there is reason to know that such that such product(s) may be intended, entirely or in part, for a military end use, as prohibited and further detailed under EAR Military End User ("MEU") regulation, Section 744.21;
- iv No Timken products used in **Russia** Sectorial Sanctions end-uses related to 15 CFR 746.5 (Russian Industry Sector Sanctions) and Section 231 of Countering America's Adversaries Through Sanctions Act ("CAATSA"):
  - a. Global entity ownership >50% for designated Russian entities;
  - b. Sectoral Sanctions Entity ownership =>33% for designated Russian energy companies; and
  - c. Global deepwater (500 ft.), arctic offshore, shale oil and gas fields.
- V No Timken products to Syria, Sudan, Iran, Cuba or North Korea. Specifically, Purchaser agrees it will not, without prior U.S. Government approval export, reexport, transfer or otherwise divert Timken product(s) to individuals and entities:
  - a. identified on BIS's Entity List (provided in Part 744, Supplement No. 4 of the EAR), as prohibited and further detailed under EAR Sections 744.10 to 744.11 and 744.20;
  - b. identified pursuant to various Executive Orders issued by the U.S. Government, such as those imposing sanctions on Specially Designated Global Terrorists, Specially Designated Terrorists, Foreign Terrorist Organizations, Weapons of Mass Destruction Proliferators and their Supporters, former Iraqi regime members, individuals and entities providing support to the Government of Burma, as prohibited and further detailed under EAR Sections 744.8, 744.12 to 744.14, 744.18, and 744.22;
  - c. identified in certain General Orders issued by BIS, as prohibited and further detailed under EAR Section 744.15

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ii No Missile, Chemical or Weapons of Mass Destruction. Specifically, Purchaser agrees and certifies that it will not, without prior U.S. Government approval, export, reexport, transfer or otherwise divert Timken product(s) to any country or entity if there is reason to know that such product(s) may be used for nuclear, missile, chemical and biological activities, including the development, production, use, operation, or maintenance of technologies and facilities used for such activities, as prohibited and further detailed under Sections 744.2 to 744.5 of the EAR.

vi No Timken products in aircraft or vessel of sanctioned person or country. Specifically, Purchaser will not export, reexport, transfer or otherwise divert Timken product to an aircraft or vessel unless authorized by a license exception, or a provision not requiring a license, for: a) the country in which the aircraft or vessel is located, b) the country in which the aircraft or vessel is registered, AND c) the country of nationality of the individual or entity controlling, leasing, or chartering the aircraft or vessel, as prohibited and further detailed under EAR Section 744.7.